SUNY Office for Capital Facilities

Facilities (Capital) Committee Presentation

Community College Business Officers Association
November 2014
Topics

- **Capital Budget**
  - History/Submission and Outcome SFY 14/15
  - 15/16 Approach and Submission

- **Other Capital Issues: Reminders and Updates**
  - Project Reimbursement
  - Update on Guidance Documents
  - Private Use Survey
  - Real Property Actions
  - Certification of Tuition and Instructional Fees
14/15 Capital Budget Request

- Material Requests
  - 5 years of projects with probability ratings
  - Project Action Forms for projects in years 1 and 2
  - Sponsor Resolutions for projects in year 1
- SUNY submitted comprehensive request to DOB
- Provided testimony to Legislature
- Responded to requests by NYS leadership for specific project details and additional deliverables
- SUNY Government Relations advocated for passage of full request
Grant Opportunities

Regional Economic Councils were in play
- 14/15 awards not yet announced (not usually CC capital)

SUNY 2020 Round III – CC winners:
- Broome – *Bridge to Entrepreneurial Excellence*
- Clinton – *Manufacturing & Technology Resource Center for the North Country*
- Erie – *Bretschger Building Renovation (Nanotech AAS degree)*
- Onondaga (and ESF) – *SUNY Water Research & Education Center*
14/15 Capital Budget Results

- Disappointing!!! 😞
- Community Colleges - only critical maintenance projects were provided state appropriation
- Projects added during budget negotiation (capital projects removed by Governor/DOB but reintroduced, additions by specific Legislators) were not included in final bill printing
- Senate Technical clean-up bill did not progress
Capital Budget 15/16 Request

- **8/1 Initial Capital Request Documents Sent to CCs**
  - 6/30 Quarterly Capital Report
  - Previous list of 5–year planned capital projects
    - Informs CCs of current available appropriation to help identify capital activities still needing State appropriation

- **8/29 Initial Project List Due**
  - 1-year ask and 5-year projections

- **9/30 Final Project Requests Due**
  - Changes to 5 year-projections
  - Project Action Forms for years 1-2
  - Sponsor resolutions/Date of delivery (before 12/15)
  - Appropriation Language Change requests
Capital Budget Request:  
The Process Continues...

- **October:** Capital materials developed by SUNY personnel, initial deliverables to DOB
- **November:** Capital/Operating request presented to SUNY BoT for approval and forwarding to DOB
- **December:** Final project material delivery incl. resolutions
- **January (3rd week):** Governor’s Executive Budget released
- **February:** All agencies provided with an opportunity to speak to the Legislature regarding the Executive Budget
- **February-March:** Legislative NYS Budget negotiation
- **April 1:** Target date for NYS Budget passage

*Any new appropriation is now accessible*
Capital Budget Request
15/16 Ask

- 15/16 Request: $150.7M (State)
  - $81.1: 14/15 projects (16) left out of Budget bill
  - $69.6: New 15/16 projects (50)
  - 4 Language Change Requests

- 5-Year Projection: $537.9M State

Capital request may reduce if outstanding resolutions not received

It is not clear if DOB will fully support all projects
Capital Budget Request
15/16 Ask by Type

By Project Type
- Critical Maintenance: 10% (14.8)
- New Building: 19% (28.4)
- Rehabilitation: 71% (107.5)

By Use Type
- Administration: 10% (18.2)
- Instructional: 56% (106.9)
- Library: 11% (21.1)
- Support Services: 21% (40.6)
- Student Life/Services: 2% (4.4)
## Capital Budget Request
### 15/16 Ask by Type

<table>
<thead>
<tr>
<th></th>
<th># of Projects</th>
<th># of Projects by Type</th>
<th># of Projects by Use</th>
<th>Project Budget ($M)</th>
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</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>CM/Safety</td>
<td>Renovation</td>
<td>New Administration</td>
</tr>
<tr>
<td>14/15 projects left out of 14/15 Budget bill printing</td>
<td>16</td>
<td>9</td>
<td>2</td>
<td>5</td>
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<tr>
<td>15/16 new projects</td>
<td>50</td>
<td>45</td>
<td>5</td>
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<td><strong>Totals</strong></td>
<td>66</td>
<td>54</td>
<td>7</td>
<td>5</td>
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</tbody>
</table>
State Capital Outside of Annual Request

Regional Economic Development Council (RECD) Grants

- 2014 not yet announced  2015 anticipated

http://regionalcouncils.ny.gov/

SUNY 2020 Round IV: $55M

- Information to follow in next few months
Reimbursement Forms/Process

- **Advance/Bonded:** Send to DASNY Program Manager
  - Cover Letter*
  - Certification Form
  - Excel Detail Sheet*
  - Invoice Copies
  * Copies should be sent to SUNY (Rebecca)

- **Hard Dollar:** Send to SUNY (Rebecca)
  - Cover Letter
  - Claim Form (ensure State Financial System (SFS) Vendor ID included):
  - Excel Detail Sheet

Available Online: [www.suny.edu/capitalfacilities/CC_Tools.cfm](www.suny.edu/capitalfacilities/CC_Tools.cfm)
Submit Timely Reimbursement Requests:

DASNY: Bonded, SUNY: Hard Dollar

- Assists SUNY in refining cash flow models given program specific process:
  - Work conducted
  - Bills paid in full
  - Reimbursement for State 50% share requested

- Ensures continued cash, advance notice of bonding needs, accurate reporting to DOB

- You get paid!!!
More Guidance Documents Available

**Process:**
- Obtaining Capital Appropriation CCP-1
- SUNY Project Initiation and Update CCP-2
- Project Financing and Reimbursement: *Pending*

**Topics:**
- In-Kind Donations as Local Funding Share CCP-4
- Real Property Actions: *Pending*

Program Private Use Surveys

- Bond Counsel uses survey information as part of due diligence when:
  - Determining if new capital projects can be funded using PIT or taxable bond proceeds and
  - Information is needed relative to bond refunding
  - Issues of Start-Up New York arise

- 2014 Surveys will be sent very soon!!!
  - 2013 info will be provided for review and update; additional information may be requested
CC Real Property Actions

SUNY Board of Trustees Action Required

- Provide information to SUNY *as soon as a potential real property transaction is identified* (even if supporting documentation not yet available)
- Two step Process: Advance Notice, Request for Action
- Need for sufficient lead time for SUNY resolution development, inclusion on BoT agenda, etc.
- SUNY staff works with CC staff to ID how request will be progressed, obtain detailed info on action and relevant material ID and received

*Memo and Forms should be disseminated soon*
SUNY is here to help!!

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www.suny.edu/capitalfacilities