Context:
Life before NY-SUNY 2020
Life during NY-SUNY 2020
Life Before NY-SUNY 2020

State Budget Fund Gaps and Net Reductions to Campuses/Programs

<table>
<thead>
<tr>
<th>Year</th>
<th>General Fund Gap</th>
<th>Net Reductions to Campuses/Programs</th>
</tr>
</thead>
<tbody>
<tr>
<td>2008/09</td>
<td>$6,129</td>
<td>$34</td>
</tr>
<tr>
<td>2009/10</td>
<td>$16,837</td>
<td>$211</td>
</tr>
<tr>
<td>2010/11</td>
<td>$7,418</td>
<td>$103</td>
</tr>
<tr>
<td>2011/12</td>
<td>$10,001</td>
<td>$66</td>
</tr>
</tbody>
</table>

Note: Does not include reductions to CCs or Hospitals

Start of NY-SUNY 2020: $300

Resident UG Tuition Increase:
- 2008/09: $620
- 2009/10: $0
- 2010/11: $0
- 2011/12: $300
Life Before NY-SUNY 2020:
State-operated Resident Undergrad. Rates Pre NY-SUNY 2020

- 1995/96: $3,400
- 1996/97: $3,400
- 1997/98: $3,400
- 1998/99: $3,400
- 1999/00: $3,400
- 2000/01: $3,400
- 2001/02: $3,400
- 2002/03: $4,350
- 2003/04: $4,350
- 2004/05: $4,350
- 2005/06: $4,350
- 2006/07: $4,350
- 2007/08: $4,350
- 2008/09: $4,350
- 2009/10: $4,350
- 2010/11: $4,970

+27.9%
+14.3%
State Budget Gaps and Net Reductions to Campuses/Programs

<table>
<thead>
<tr>
<th>Year</th>
<th>General Fund Gap</th>
<th>Net Reductions to Campuses/Programs</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011/12</td>
<td>$10,001</td>
<td>$66</td>
</tr>
<tr>
<td>2012/13</td>
<td>$3,500</td>
<td></td>
</tr>
<tr>
<td>2013/14</td>
<td>$1,352</td>
<td></td>
</tr>
<tr>
<td>2014/15</td>
<td>$1,742</td>
<td></td>
</tr>
</tbody>
</table>

Resident UG Tuition Increase:
- $300 for each year (2011/12 to 2014/15)

Note: Does not include reductions to CCs or Hospitals. Does not reflect minimal ~$10M in increased funding.
Last Planned Year of NY-SUNY 2020

Challenges
- General Fund gap
- 2 percent Rule

Opportunities
- State surplus
- Story of NY-SUNY 2020
## Challenges: 2 Percent Spending Growth Rule

<table>
<thead>
<tr>
<th>Subject to 2 Percent Cap or Not</th>
<th>State Operating Funds</th>
<th>Non-State Operating Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>State-operated Campus Direct State Tax support</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Statutory Campus Direct State Tax Support</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>State-operated Tuition revenue</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>State-operated Campus fee revenue</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Hospital Revenue</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Community College Base Operating Aid</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>Statutory Campus Tuition and Fee revenue</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>Community College Tuition and Fee Revenue</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>Federal Funds (Pell, Perkins, etc)</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>Capital Expenditures</td>
<td></td>
<td>X¹</td>
</tr>
</tbody>
</table>

1. Some limited capital expenditure is considered State-operating funds (Parks, Transportation, Mental Hygiene)
### Challenges:

#### 2 Percent Spending Growth Rule: Competition ($M)

<table>
<thead>
<tr>
<th>Year</th>
<th>State Funding for School Aid</th>
<th>State Funding for Medicaid</th>
<th>SUNY State-operating Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013/14</td>
<td>$17,238</td>
<td>$11,487</td>
<td>$6,179</td>
</tr>
<tr>
<td>2014/15</td>
<td>$22,155</td>
<td>$13,753</td>
<td>$6,584</td>
</tr>
<tr>
<td>2015/16</td>
<td>$25,000</td>
<td>$16,617</td>
<td>$6,906</td>
</tr>
<tr>
<td>2016/17</td>
<td>Average 6.5% Annual Growth</td>
<td>Average 4.6% Annual Growth</td>
<td>Average 1.6% Annual Growth</td>
</tr>
<tr>
<td>2017/18</td>
<td>$25,000</td>
<td>$16,617</td>
<td>$6,906</td>
</tr>
</tbody>
</table>

To facilitate these increases, State expects annual “restrictions” on spending of $2.0B in 15/16, $3.4B in 16/17, and $4.9B in 17/18.
## Opportunities: 2014/15 NYS Budget Surplus

<table>
<thead>
<tr>
<th>Description</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>State is currently planning to finish 2014/15 with a “surplus” of $4.8B</td>
<td></td>
</tr>
<tr>
<td>This revenue is the result of a series of favorable settlements with several companies such as BNP Paribas, Credit Suisse AG, and Metropolitan Life Insurance</td>
<td></td>
</tr>
<tr>
<td>Surplus is one time only and not usable for ongoing costs (salary, etc) much like SUNY reserves</td>
<td></td>
</tr>
<tr>
<td>Planned uses include:</td>
<td></td>
</tr>
<tr>
<td>- Will know after Executive</td>
<td></td>
</tr>
</tbody>
</table>
Opportunities: The Story of NY-SUNY 2020

- 25 percent of Resident Undergraduate tuition revenue supporting Student Aid
- 520 additional instructional staff hired
- 270 of the 520 full-time faculty
- 100 new degree programs in high-need fields
2015/16 Operating Budget Request
*Direct Academic Mission and System-Wide Activities:*

**State-operated Campuses:**
- $131.4M: Salary funding
- $155.0M: Spending authority

**$50.0M**
- Master Innovators

**$50.0M**
- Collective Impact Funding Program

**Up to $30.0M**
- Loan to Facilitate Expanded Access and Completion

**$2.0M**
- Support for the Ongoing Mission of the University
## $131.4M: State-operated Campus Salary Support ($M)

### Incremental Annual Salary (Costs), Offsetting Savings, and New Funding

<table>
<thead>
<tr>
<th>Item</th>
<th>‘13/14</th>
<th>‘14/15</th>
<th>‘15/16</th>
<th>‘16/17</th>
<th>‘17/18</th>
<th>Cumulative</th>
</tr>
</thead>
<tbody>
<tr>
<td>DRL Savings</td>
<td>$26.6</td>
<td>$21.8</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>$48.4</td>
</tr>
<tr>
<td>DRL Repayment</td>
<td>-</td>
<td>-</td>
<td>(1.0)</td>
<td>(25.0)</td>
<td>(11.5)</td>
<td>(37.5)</td>
</tr>
<tr>
<td>Salary Costs</td>
<td>(18.1)</td>
<td>(64.3)</td>
<td>(56.7)</td>
<td>(7.7)</td>
<td>(7.7)</td>
<td>(154.5)</td>
</tr>
<tr>
<td>State Funding</td>
<td>-</td>
<td>7.6</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>7.6</td>
</tr>
<tr>
<td>Net Impact</td>
<td>$8.5</td>
<td>$(34.9)</td>
<td>$(57.7)</td>
<td>$(32.7)</td>
<td>$(19.2)</td>
<td>$(136.0)</td>
</tr>
</tbody>
</table>

### Notes:
- **DRL = Deficit Reduction Leave**
- Assumes continuation of $7.6M in additional State funding from 2014/15
- Core Operations Budget only
- **Totals may not add due to rounding**

### 2015/16 Request:
- $(18.1): 13/14
- $(64.3): 14/15
- $7.6: 14/15
- $(56.7): 15/16
- $(131.4): Total
$155.0M: Revenue Spending Authority
(Includes $19.2M in prior year shortfall)

Incremental Core Operations Year-to-Year Revenue and Costs

Assumes:
- Continuation of $7.6M State tax support included in 2014/15
- No tuition increases past 2015/16 (current law)
- Freezing of Tuition Credit at 2015/16 Cost

Note:
- Incremental costs reflect only salary costs, UUP DRL repayment and tuition credit
- Does not include changes in utility costs, inflation, or new programs
$50.0M: Master Innovators

Sponsored Program Expenditures by Sector ($M)

- Technology Colleges: $12.3, $13.6, $14.3, $13.5, $12.1
- System Administration: $22.5, $16.1, $13.6, $13.8, $19.8
- University Colleges: $89.4, $80.4, $73.9, $64.4, $63.2
- University Centers and Doctoral Institutions: $767.0, $830.5, $828.3, $891.5, $908.9
- Total: $891.2, $940.5, $930.2, $983.2, $1,003.9

A large amount of Sponsored Program expenditures is driven by relatively few faculty:
- With additional funding, new – research focused – faculty would be hired to:
  - Increase this pool,
  - Energize the research culture
$50.0M: Collective Impact Funding Program

- Campus Innovation
- Incentivized Funding
- "Scaling Up" on Success

System-wide Impact
Up to $30.0M Loan to Facilitate Expanded Access & Completion: Online Programs / Open SUNY

**Process:**
1. Seed funding provided
2. Campuses submit proposals. System reviews and monitors approved proposals
3. Campuses invest in new programs or delivery methods such as Open SUNY
4. Improvements drive higher enrollment and completion
5. Loan repaid
6. Continued investment in campus
Expansion of Access and On-Time Completion: Example of current investment – Open SUNY

- 400 online degree programs
  - 64 with enhanced support through Open SUNY+

Includes 24/7 helpdesk, online academic tutoring, personal student concierges, and enhanced access to applied learning opportunities.

Positive national recognition
Positive sector comment by Moody’s Investors Services
$2.0M: Support for the Ongoing Mission of the University

Actual and Planned Revenue and Disbursements with Requested Support

<table>
<thead>
<tr>
<th>Year</th>
<th>Existing State Tax Support</th>
<th>Overhead/Program Revenue/Misc Other</th>
<th>Existing Assessments</th>
<th>&quot;Approved&quot; New Assessment</th>
<th>Requested Additional State Funding</th>
<th>Actual and Planned Disbursements</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010/11</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2011/12</td>
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<td>2012/13</td>
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<td>2013/14</td>
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<td>2014/15</td>
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<td>2015/16</td>
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<td>2016/17</td>
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<td>2017/18</td>
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<td>2018/19</td>
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<tr>
<td>2019/20</td>
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</tr>
</tbody>
</table>
2015/16 Executive Budget
“Good” News: Performance Improvement Plans

**Purpose:**
- Will serve as the basis for performance funding allocations in the future

**Contents (including / not limited to):**
- Criteria to improve access, completion, academic and post-graduation success, research, and community engagement
- Experiential learning as a criteria for graduation
- A Master Researchers program in concert with the RF
- Financial incentives for presidents in association with START-UP NY success

**Stakes:**
- 10 percent of direct State tax support
- A share of $18.0M in additional State tax funding

**Timeline:**
- Received and approved by the Board of Trustees by December 31, 2015
## Other Good News:

- Recognition of the last planned year of NY-SUNY 2020
- 5th Round of NY-SUNY 2020 Capital Grants
- New Critical Maintenance funding
- New Critical Maintenance funding plan
- Expedited program approval
- Other
Less Good but Not Unexpected News:

**Elimination of additional funding provided in the Enacted Budget:**

- Additional Salary Funding ($7.6M)
- EOP ($1.3M)
- ATTAIN ($1.0M)
- Stony Brook Marine Animal Lab ($0.3M)
- Cornell Vet College ($0.3M)
- Limited redistribution of U-wide funding
Next Steps
Budget Making Process

February 10:
• Budget Testimony

February/March:
• Budget Negotiations

April 1:
• Enacted Budget
Advocacy

- **NY-SUNY 2020**
  - Continue to push for extension of 2020 until 2020

- **Tuition Rates**
  - Seek limited flexibility

- **Additional Investment**
  - Maximize any opportunities within Executive Budget
Roadmap to the 2015/16 Enacted Budget

2015/16 Budget Request

Advocacy

You Are Here

Negotiations

Even More Advocacy

2015/16 Executive Budget

One House Bills

More Advocacy

2015/16 Enacted Budget
Questions?