



The State University
of New York

SUNY Office for Capital Facilities

Facilities (Capital) Committee Presentation

Community College Business Officers Association

November 2014

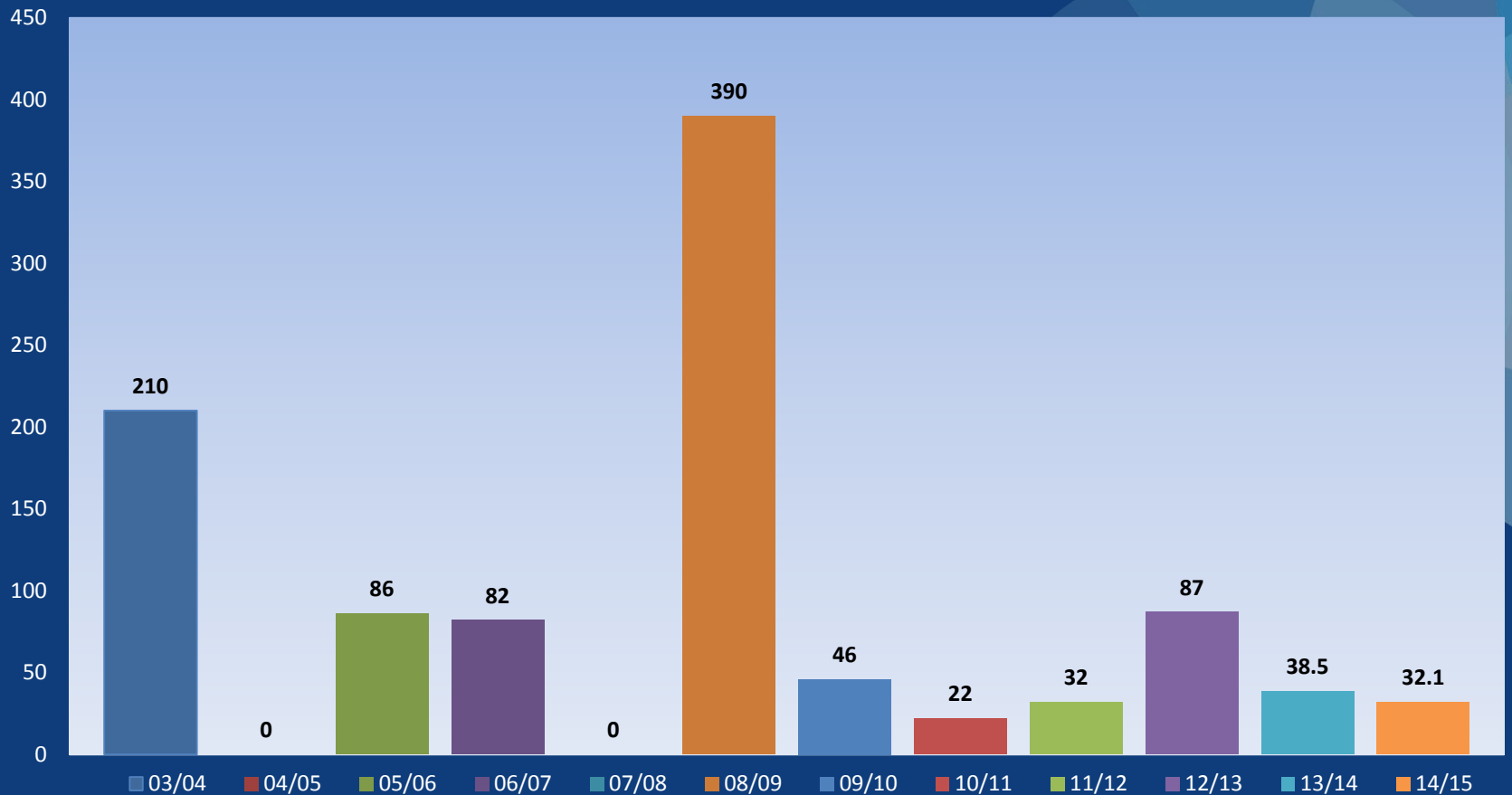
Topics

- Capital Budget
 - History/Submission and Outcome SFY 14/15
 - 15/16 Approach and Submission
- Other Capital Issues: Reminders and Updates
 - Project Reimbursement
 - Update on Guidance Documents
 - Private Use Survey
 - Real Property Actions
 - Certification of Tuition and Instructional Fees



Capital Budget Request: History

Community College Capital Funding (\$M)





14/15 Capital Budget Request

- Material Requests
 - 5 years of projects with probability ratings
 - Project Action Forms for projects in years 1 and 2
 - Sponsor Resolutions for projects in year 1
- SUNY submitted comprehensive request to DOB
- Provided testimony to Legislature
- Responded to requests by NYS leadership for specific project details and additional deliverables
- SUNY Government Relations advocated for passage of full request

14/15 Capital Budget Request (cont.)

■ Grant Opportunities

➤ Regional Economic Councils were in play

- 14/15 awards not yet announced (not usually CC capital)

➤ SUNY 2020 Round III – CC winners:

- Broome – *Bridge to Entrepreneurial Excellence*
- Clinton – *Manufacturing & Technology Resource Center for the North Country*
- Erie – *Bretschger Building Renovation (Nanotech AAS degree)*
- Onondaga (and ESF) – *SUNY Water Research & Education Center*



14/15 Capital Budget Results

- Disappointing!!! ☹️
- Community Colleges - only critical maintenance projects were provided state appropriation
- Projects added during budget negotiation (capital projects removed by Governor/DOB but reintroduced, additions by specific Legislators) were not included in final bill printing
- Senate Technical clean-up bill did not progress



Capital Budget 15/16 Request

- **8/1 Initial Capital Request Documents Sent to CCs**
 - 6/30 Quarterly Capital Report
 - Previous list of 5–year planned capital projects
 - Informs CCs of current available appropriation to help identify capital activities still needing State appropriation
- **8/29 Initial Project List Due**
 - 1-year ask and 5-year projections
- **9/30 Final Project Requests Due**
 - Changes to 5 year-projections
 - Project Action Forms for years 1-2
 - Sponsor resolutions/Date of delivery (before 12/15)
 - Appropriation Language Change requests

Capital Budget Request: The Process Continues...

- **October:** Capital materials developed by SUNY personnel, initial deliverables to DOB
- **November:** Capital/Operating request presented to SUNY BoT for approval and forwarding to DOB
- **December:** Final project material delivery incl. resolutions
- **January (3rd week):** Governor's Executive Budget released
- **February:** All agencies provided with an opportunity to speak to the Legislature regarding the Executive Budget
- **February-March:** Legislative NYS Budget negotiation
- **April 1:** Target date for NYS Budget passage

Any new appropriation is now accessible

Capital Budget Request 15/16 Ask

- 15/16 Request: \$150.7M (State)
 - \$81.1: 14/15 projects (16) left out of Budget bill
 - \$69.6 : New 15/16 projects (50)
 - 4 Language Change Requests
- 5-Year Projection: \$537.9M State

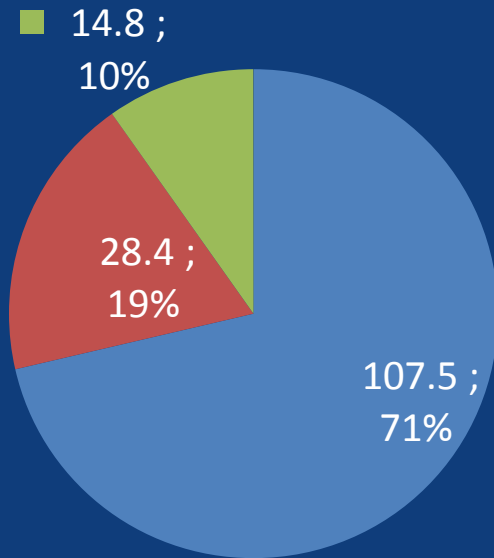
Capital request may reduce if outstanding resolutions not received

It is not clear if DOB will fully support all projects



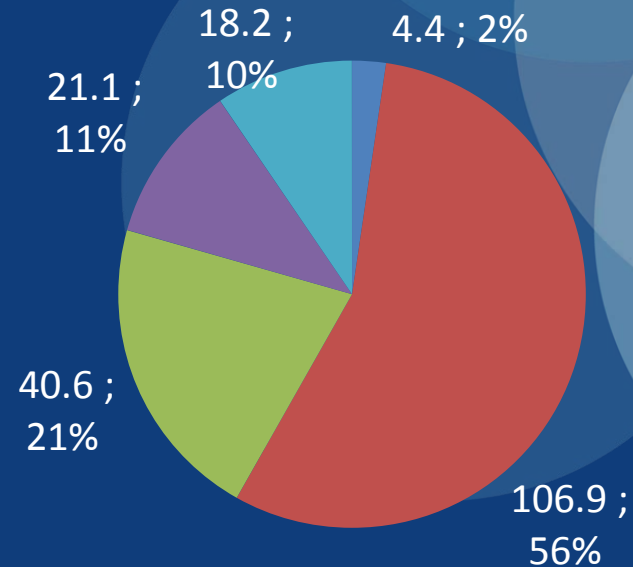
Capital Budget Request 15/16 Ask by Type

By Project Type



■ Critical Maintenance ■ New Building ■ Rehabilitation

By Use Type



■ Administration ■ Instructional
 ■ Library ■ Student Life/Services
 ■ Support Services

Capital Budget Request 15/16 Ask by Type

	# of Projects	# of Projects by Type			# of Projects by Use					Project Budget (\$M)	
		CM/ Safety	Reno- vation	New	Adminis- tration	Instruc- tional	Library	Student Life/ Services	Support Services	Total	State share
14/15 projects left out of 14/15 Budget bill printing	16	9	2	5	1	7	4	4	0	162.4	81.1
15/16 new projects	50	45	5	0	2	21	0	6	21	139.4	69.6
Totals	66	54	7	5	3	28	4	10	21	301.4	150.7

State Capital Outside of Annual Request

Regional Economic Development Council (RECD) Grants

- 2014 not yet announced 2015 anticipated

<http://regionalcouncils.ny.gov/>

SUNY 2020 Round IV: \$55M

- Information to follow in next few months



Reimbursement Forms/Process

■ Advance/Bonded: Send to DASNY Program Manager

- Cover Letter*
- Certification Form
- Excel Detail Sheet*
- Invoice Copies

* Copies should be sent to SUNY (Rebecca)

■ Hard Dollar: Send to SUNY (Rebecca)

- Cover Letter
- Claim Form (ensure State Financial System (SFS) Vendor ID included):
- Excel Detail Sheet

Available Online: www.suny.edu/capitalfacilities/CC_Tools.cfm

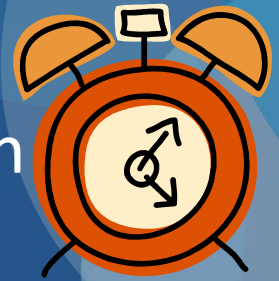


Program Reimbursement (cont.)

Submit Timely Reimbursement Requests:

DASNY: Bonded, SUNY: Hard Dollar

- Assists SUNY in refining cash flow models given program specific process:
 - Work conducted
 - Bills paid in full
 - Reimbursement for State 50% share requested
- Ensures continued cash, advance notice of bonding needs, accurate reporting to DOB
- You get paid!!!



More Guidance Documents Available

Process:

- **Obtaining Capital Appropriation CCP-1**
- **SUNY Project Initiation and Update CCP-2**
- **Project Financing and Reimbursement: *Pending***

Topics:

- **In-Kind Donations as Local Funding Share CCP-4**
- **Real Property Actions: *Pending***

<http://system.suny.edu/capital-facilities/guidance-documents/>



Program Private Use Surveys

- Bond Counsel uses survey information as part of due diligence when:
 - Determining if new capital projects can be funded using PIT or taxable bond proceeds and
 - Information is needed relative to bond refunding
 - Issues of Start-Up New York arise
- 2014 Surveys will be sent very soon!!!
 - 2013 info will be provided for review and update; additional information may be requested

CC Real Property Actions

SUNY Board of Trustees Action Required

- Provide information to SUNY ***as soon as a potential real property transaction is identified*** (even if supporting documentation not yet available)
- Two step Process: Advance Notice, Request for Action
- Need for sufficient lead time for SUNY resolution development, inclusion on BoT agenda, etc.
- SUNY staff works with CC staff to ID how request will be progressed, obtain detailed info on action and relevant material ID and received

Memo and Forms should be disseminated soon

SUNY is here to help!!

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www.suny.edu/capitalfacilities